

# 东方日升反腐败反贿赂政策

## Anti-Corruption and Anti-Bribery

东方日升致力于营造和维护诚信、公平、廉洁的商业环境，以确保公司的业务活动合法、合规，维护公司的声誉和利益，实现可持续发展。董事会下设“审计委员会”，作为反腐败反贿赂工作的最高决策机构。

We are committed to creating and maintaining an honest, fair and clean business environment to ensure that the company's business activities are legal and compliant, safeguard the company's reputation and interests, and achieve sustainable development. The board of directors has an "Audit Committee" as the highest decision-making body for anti-corruption and anti-bribery work.

因此，我们郑重承诺：对腐败和贿赂行为“零容忍”，坚决反对公司内、外人员为谋取不正当利益，采用欺骗等违法违规手段，谋取个人不公平或非法收益，损害公司正当经济利益的行为。包括但不限于：

Therefore, we solemnly commit to a "zero tolerance" for corruption and bribery, and firmly oppose behaviors where personnel inside or outside the company, in order to seek improper benefits, use illegal and irregular means such as deception to obtain personal unfair or illegal gains, thereby harming the company's legitimate economic interests. This includes but is not limited to:

坚决反对公司内、外人员收受礼品或以任何形式在合同付款时的贿赂，或软美元贿赂。

We firmly oppose personnel inside and outside the company accepting gifts, or engaging in bribery in any form during contract payments, including soft dollar bribes.

坚决反对以直接或间接政治捐款/慈善捐款和赞助形式的贿赂。

We firmly oppose bribery in the form of direct or indirect political contributions, charitable donations, and sponsorships.

### ■ 反腐败反贿赂行动 Anti-Corruption and Anti-Bribery Actions

#### • 预防与监管 Prevention and Supervision

建立严格的内部控制体系，包括财务审批、内部审计、风险管理等方面的制度和流程，

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严防腐败和贿赂行为的发生。

We have established a rigorous internal supervision system, including frameworks for financial auditing, internal auditing, risk management, and more, to effectively prevent corruption and bribery.

设立专门的反腐败反贿赂机构，负责监督和管理公司的反腐败反贿赂工作，确保各项措施得到有效执行。

We have established a dedicated anti-corruption and anti-bribery department to oversee and manage the Company's efforts in these areas, ensuring the effectiveness of our measures.

加强员工教育和培训，提高员工对腐败和贿赂行为的认识和防范意识，培养诚信、正直的企业文化。

We enhance employee education and training to improve employees' awareness and prevention of corruption and bribery, and foster a corporate culture of integrity and probity

- **应对措施 Corrective Actions**

一旦发现腐败或贿赂行为，公司将立即启动调查程序，查明事实真相，并依法依规对涉事人员进行严肃处理。

Upon discovering any corruption or bribery, we will immediately initiate an investigation process to find out the truth, and deal with the involved personnel seriously in accordance with laws and regulations.

对于涉及腐败或贿赂行为的外部合作伙伴或供应商，公司将立即终止与其的合作关系，并向有关部门报告。

For external partners or suppliers involved in corruption or bribery, we will immediately terminate the cooperative relationship with them and report to the relevant authorities.

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积极配合执法机关和有关部门的调查工作，提供必要的证据和信息，以打击腐败和贿赂行为。

We actively cooperate with law enforcement agencies and relevant authorities in their investigation work, providing necessary evidence and information to combat corruption and bribery.

- **举报与奖励 Whistleblowing and Reward**

集团审计部负责收集和处理员工的举报信息

The Group Audit Department is responsible for collecting and handling employees' whistleblowing information.

鼓励员工进行匿名举报，公司对举报内容严格保密，并将为举报人提供必要的保护和支持，对报复行为零容忍。

Employees are encouraged to submit anonymous reports. The company strictly keeps the content of the reports confidential, will provide necessary protection and support for whistleblowers, and has zero tolerance for retaliation.

投诉专线: +86-0574-59982178;

Whistleblower hotline: +86-0574-59982178;

投诉邮箱: 5198@risenenergy.com, risensj@163.com;

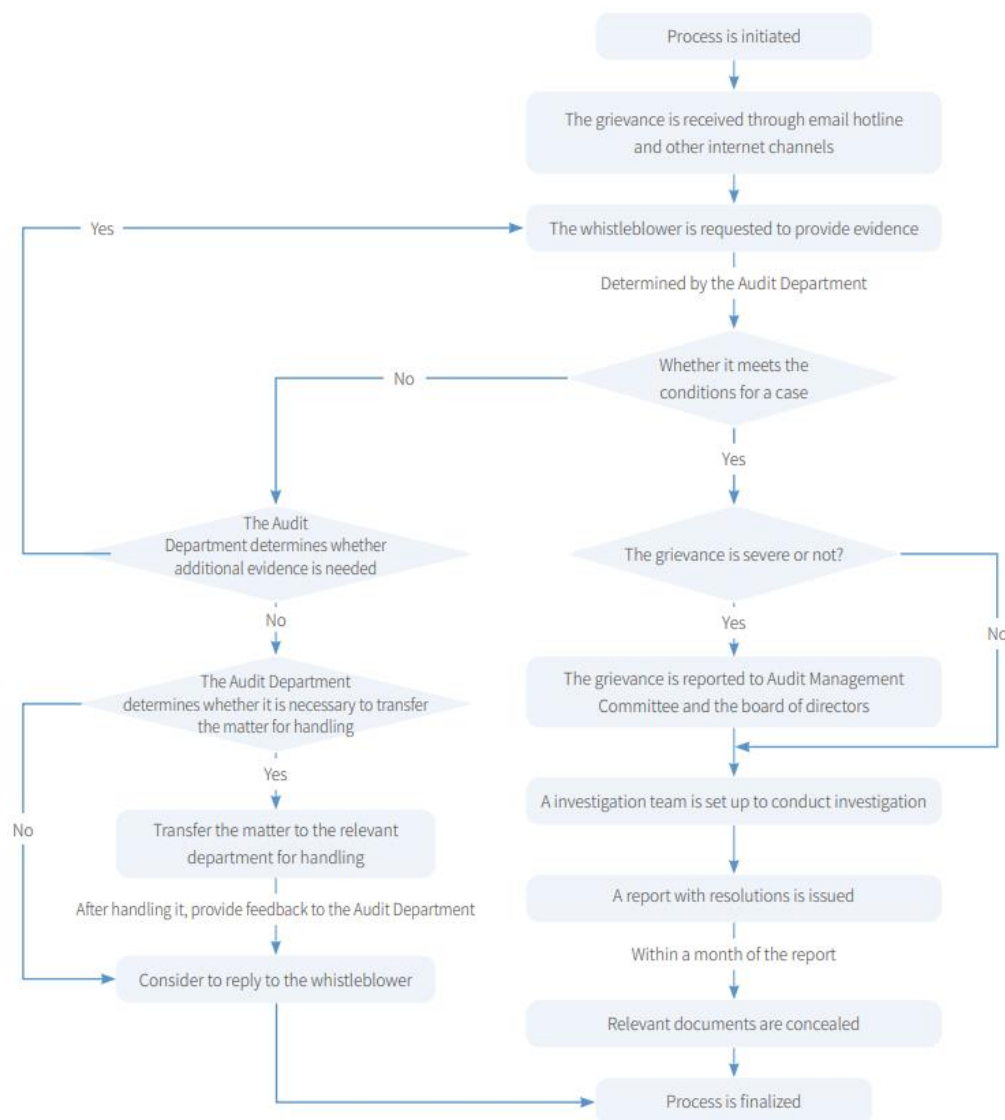
Whistleblower e-mail: 5198@risenenergy.com, [risensj@163.com](mailto:risensj@163.com);

公司开展针对性培训，让全体员工了解举报渠道和举报流程。We conduct targeted training to enable all employees to understand the whistleblowing channels and procedures.

提供有效线索的举报人，公司将给予适当的奖励和表彰。

We will give appropriate rewards and recognition to whistleblowers who provide effective clues.

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Risen Energy's Whistleblowing Process

## • 宣传与教育 Publicity and Education

通过内部通讯、宣传栏等多种渠道，宣传反腐败反贿赂政策的重要性和相关知识，提高员工对腐败和贿赂行为的警觉性。

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We promote anti-bribery policies and knowledge through various channels such as internal newsletters and bulletin boards to enhance employees' awareness of anti-corruption and anti-bribery measures.

定期组织反腐败反贿赂培训和分享会，邀请行业专家和律师进行授课，增强员工的法律意识和风险防控能力。

We organize regular anti-corruption and anti-bribery training sessions, inviting industry experts and lawyers to lecture and enhance employees' legal awareness and risk management capabilities.

签名 (**President** Signature) :

日期 (Date) : September 2025