

# 东方日升公共政策及协会参与准则

## Risen Energy Public Policy and Association Participation Guidelines

### 目的与适用范围 | Purpose and Scope

本准则用于规范东方日升及其全球子公司参与公共政策沟通、行业协会、商会及其他会员组织的相关事项，确保外部参与行为与公司经营原则、气候战略及《巴黎协定》目标保持一致。

These Guidelines apply to Risen Energy and its global subsidiaries in relation to public policy engagement, trade associations, chambers of commerce and other membership organizations, to ensure that external engagement is consistent with its business principles, climate strategy and the goals of the Paris Agreement.

本准则覆盖所有运营所在地，适用于会员资格申请、年度复核、气候相关立场评估、直接游说管理、不一致情形处理及对外披露。

These Guidelines apply across all jurisdictions where the Company operates and cover membership applications, annual reviews, climate-position assessments, management of direct lobbying, handling of misalignment and external disclosure.

### 公司基本立场 | Company Position

- 公司保持政治中立，不直接或间接向政党、政治组织、政治候选人或参与政治活动的个人提供捐款、资助或其他利益。
- The Company maintains political neutrality and does not provide direct or indirect donations, funding or other benefits to political parties, political organizations, political candidates or individuals involved in political activities.
- 公司原则上不开展直接游说活动。报告期内，公司未开展气候相关直接游说活动，也未发生气候相关游说支出或政治捐款。
- The Company does not conduct direct lobbying activities as a matter of principle. During the reporting period, it did not conduct climate-related direct lobbying activities or make climate-related lobbying expenditures or political contributions.
- 如确因业务经营、合规要求或行业咨询需要参与气候相关公共政策沟通，应履行事前审查和审批，并保留相关记录。
- Where climate-related public policy engagement is required for business, compliance or industry consultation purposes, prior review and approval shall be completed and relevant records shall be retained.

- 公司支持《巴黎协定》目标，包括将全球平均气温升幅控制在远低于工业化前水平 2°C 以内，并努力将升温限制在 1.5°C 以内。
- The Company supports the goals of the Paris Agreement, including holding the increase in global average temperature to well below 2°C above pre-industrial levels and pursuing efforts to limit the increase to 1.5°C.
  - 公司期望其参与的重要行业协会、商会及会员组织的气候相关公开立场，不与《巴黎协定》及公司气候战略相冲突。
- The Company expects the climate-related public positions of its key trade associations, chambers of commerce and membership organizations not to conflict with the Paris Agreement or the Company's climate strategy.

## 职责分工 | Governance Responsibilities

| 治理主体<br>Governance body                                        | 主要职责<br>Key responsibilities                                                                                                                                                                                    |
|----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 董事会<br>Board of Directors                                      | 对重大公共政策参与及重要协会会员事项进行最终审议；必要时审查退出建议。<br>Reviews material public policy engagement and key membership matters; reviews withdrawal proposals when necessary.                                                       |
| 战略与可持续发展委员会<br>Strategic and Sustainable Development Committee | 审议协会加入、年度评估及不一致处理建议；监督本准则执行。<br>Reviews membership applications, annual assessments and misalignment actions; oversees implementation of these Guidelines.                                                      |
| 战略可持续发展办公室<br>Strategic and Sustainable Development Office     | 负责资料收集、会员申请初审、年度复核、气候立场评估、记录保存及披露准备。<br>Collects information, conducts initial membership review, performs annual assessments and climate-position checks, maintains records and prepares disclosure materials. |
| 相关业务部门<br>Relevant Business Units                              | 提供申请背景、业务必要性、费用信息、参与活动记录及协会沟通情况。<br>Provides the business rationale, fee information, records of participation and communications with associations.                                                            |

## 会员加入及年度复核 | Membership Application and Annual Review

新申请加入行业协会、商会或其他会员组织时，相关部门应提交申请说明，内容包括组织性质、会员身份、费用、业务必要性、公开政策立场、气候相关立场及可能存在的声誉或合规风险。

For new memberships in trade associations, chambers of commerce or other membership organizations, the relevant department shall submit an application note covering the nature of the organization, membership status, fees, business rationale, public policy positions, climate-related positions and potential reputation or compliance risks.

战略可持续发展办公室每年至少开展一次会员复核，重点关注：会员资格是否仍具业务必要性；组织公开立场是否与公司政策一致；是否存在与《巴黎协定》或公司气候战略相冲突的公开声明、政策倡议或重大活动。

The Strategic and Sustainable Development Office shall conduct at least one annual membership review, focusing on business relevance, consistency with Company policies, and whether the organization has public statements, policy advocacy or material activities that conflict with the Paris Agreement or the Company's climate strategy.

年度复核结果提交战略与可持续发展委员会审阅，并作为 ESG 报告及官网披露的基础资料。

The annual review results shall be submitted to the Strategic and Sustainable Development Committee and used as the basis for ESG report and website disclosure.

## 气候相关公共政策参与管理 | Climate-related Public Policy Engagement

公司不以影响气候政策或削弱减排要求为目的开展直接游说。如未来参与气候相关政策征询、行业意见反馈、标准讨论或公开倡议，应评估其与《巴黎协定》、中国“双碳”目标及公司气候战略的一致性。

The Company does not conduct direct lobbying for the purpose of influencing climate policy or weakening emission-reduction requirements. If the Company participates in climate-related policy consultation, industry feedback, standard-setting discussions or public initiatives in the future, the engagement shall be assessed for alignment with the Paris Agreement, China's dual-carbon goals and the Company's climate strategy.

相关参与记录应至少包括参与事项、时间、牵头部门、外部组织、公司立场、审批情况和后续行动。

Records shall include, at a minimum, the engagement topic, date, responsible department, external organization, Company position, approval status and follow-up actions.

## 不一致情形处理 | Management of Misalignment

如发现协会或会员组织的气候相关公开立场与《巴黎协定》或公司气候战略存在重大不一致，战略可持续发展办公室应形成书面评估意见，提交战略与可持续发展委员会审阅。

If a material inconsistency is identified between an association's climate-related public position and the Paris Agreement or the Company's climate strategy, the Strategic and Sustainable Development Office shall prepare a written assessment for review by the Strategic and Sustainable Development Committee.

- 与该组织沟通，说明公司立场并要求澄清或改进；
- Engage with the organization, state the Company position and request clarification or improvement;
  - 设定合理整改期限，并跟踪其公开立场或行动变化；
- Set a reasonable timeframe for improvement and track changes in public positions or actions;
  - 在未能改进或不一致情形持续存在的情况下，提出暂停参与、降低参与级别或退出会员资格的建议；
- Where improvement is not made or misalignment continues, propose suspension of participation, reduction of engagement level or withdrawal of membership;
  - 必要时在 ESG 报告或公司官网中披露相关评估和处理结果。
- Where necessary, disclose the assessment and response in the ESG report or on the Company website.

## 信息披露 | Disclosure

公司将在 ESG 报告及/或官网披露本准则、气候相关公共政策参与情况、是否发生直接气候相关游说活动、重要协会会员身份、协会气候相关立场评估结果以及重大不一致情形的处理进展。

The Company will disclose these Guidelines, climate-related public policy engagement, whether any direct climate-related lobbying activities occurred, key memberships, assessment results of associations' climate-related positions and the handling of any material misalignment in its ESG report and/or on its official website.

如报告期内未发生气候相关直接游说活动，公司将在披露中予以说明。

If no direct climate-related lobbying activities occurred during the reporting period, the Company will state this in its disclosure.

## 附件：重要协会及气候相关立场评估

### Annex: Key Memberships and Climate-related Position Assessment

注：本表为年度复核基础清单。评估依据包括协会官网、年度报告、公开倡议、服务说明及公司内部会员记录。

Note: This table serves as the basis for the annual review. Assessment sources include association websites, annual reports, public initiatives, service descriptions and the Company's internal membership records.

| 协会/组织<br>Association / Organization | 气候相关立场及作用<br>Climate-related position and role                                                                                                                                                                                                                                                      | 公司身份<br>Company status | 评估依据<br>Assessment basis                                                         | 评估结论<br>Assessment conclusion                    |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|----------------------------------------------------------------------------------|--------------------------------------------------|
| CONSORZIO E-CYCLE                   | 意大利循环经济及 EPR 执行型组织，覆盖 RAEE/WEEE、废电池及光伏组件回收。其业务方向与资源回收、末端处置合规和低碳转型相一致。<br>Italian circular-economy and EPR implementation organization covering RAEE/WEEE, waste batteries and PV module recycling. Its work is consistent with resource recovery, end-of-life compliance and low-carbon transition. | 会员<br>Member           | 公开资料、回收合规服务说明<br>Public information and recycling compliance service description | 未发现重大不一致<br>No material inconsistency identified |



| 协会/组织<br>Association / Organization                        | 气候相关立场及作用<br>Climate-related position and role                                                                                                                                                                                                                                           | 公司身份<br>Company status                 | 评估依据<br>Assessment basis                                                       | 评估结论<br>Assessment conclusion                    |
|------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|--------------------------------------------------------------------------------|--------------------------------------------------|
| ECOASIMELEC 基金会<br>La Fundación Ecoasimelec                | 西班牙 WEEE/RAEE 生产者责任合规组织，覆盖电子电气设备及相关回收管理。其工作支持循环经济、资源化利用及公众环保意识提升。<br>Spanish WEEE/RAEE producer-responsibility compliance organization covering electrical and electronic equipment recycling. Its work supports circular economy, resource recovery and public environmental awareness. | 会员<br>Member                           | 公开资料、WEEE 合规服务说明<br>Public information and WEEE compliance service description | 未发现重大不一致<br>No material inconsistency identified |
| E.P.I.A. SolarPower Europe AISBL                           | 支持欧盟绿色协议、气候中和及净零经济目标，并推动欧洲可再生能源和无化石能源体系建设。<br>Supports the EU Green Deal, climate neutrality and net-zero economy objectives, and promotes a renewable and fossil-free energy system in Europe.                                                                                          | 会员<br>Member                           | 协会公开立场、官网资料<br>Public position and website information                         | 未发现重大不一致<br>No material inconsistency identified |
| 中国光伏行业协会<br>China Photovoltaic Industry Association (CPIA) | 通过行业发展、清洁能源部署、绿色低碳技术创新和行业自律，支持中国“双碳”战略及光伏产业低碳转型。<br>Supports China's dual-carbon strategy and the PV sector's low-carbon transition through industry development, clean-energy deployment, green technology innovation and industry self-discipline.                                     | 常务理事单位<br>Standing Council Member Unit | 协会公开资料、行业倡议<br>Public information and industry initiatives                     | 未发现重大不一致<br>No material inconsistency identified |



| 协会/组织<br>Association /<br>Organization                                                                                     | 气候相关立场及作用<br>Climate-related position and role                                                                                                                                                                                                                                                | 公司身份<br>Company<br>status                    | 评估依据<br>Assessment basis                                              | 评估结论<br>Assessment conclusion                          |
|----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------------------|
| 江苏省可再生能源行业协会<br>Jiangsu Renewable<br>Energy Industry<br>Association                                                        | 围绕可再生能源产业发展、政策沟通、碳足迹、ESG 培训、碳资产及省级新能源生态建设开展工作。<br>Works on renewable-energy industry development, policy communication, carbon footprint, ESG training, carbon assets and provincial new-energy ecosystem development.                                                                        | 副理事会员<br>Deputy<br>Council<br>Member<br>Unit | 协会公开资料、培训及活动信息<br>Public information, training and activity records   | 未发现重大不一致<br>No material<br>inconsistency<br>identified |
| 中国机电产品进出口商会<br>China Chamber of<br>Commerce for Import<br>and Export of<br>Machinery and<br>Electronic Products<br>(CCCME) | 通过双碳服务、碳足迹披露、产品碳标识、绿色贸易合规和低碳供应链协同，支持机电及新能源出口企业低碳转型。<br>Supports low-carbon transition for machinery, electronics and new-energy exporters through dual-carbon services, carbon footprint disclosure, product carbon labeling, green-trade compliance and low-carbon supply-chain cooperation. | 普通会员<br>Member                               | 商会公开资料、双碳服务信息<br>Public information and dual-carbon service materials | 未发现重大不一致<br>No material<br>inconsistency<br>identified |
| 中国质量协会<br>China Association for<br>Quality                                                                                 | 通过质量管理、双碳培训、可持续发展议题交流及绿色低碳能力建设，参与中国“双碳”和可持续发展议程。<br>Participates in China's dual-carbon and sustainable-development agenda through quality management, dual-carbon training, sustainability exchange and green low-carbon capacity building.                                                  | 会员单位<br>Member<br>Unit                       | 协会公开资料、培训信息<br>Public information and training materials              | 未发现重大不一致<br>No material<br>inconsistency<br>identified |



| 协会/组织<br>Association / Organization                            | 气候相关立场及作用<br>Climate-related position and role                                                                                                                                                                                                                                                                                    | 公司身份<br>Company status      | 评估依据<br>Assessment basis                                                        | 评估结论<br>Assessment conclusion                    |
|----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|---------------------------------------------------------------------------------|--------------------------------------------------|
| 宁海县环保协会<br>Ninghai County Environmental Protection Association | 地方环保类社会组织，主要通过环保宣传、企业环境治理交流、绿色低碳理念推广和公众参与，支持地方节能减排和绿色运营。<br>A local environmental protection social organization that supports local energy conservation, emission reduction and green operations through environmental awareness, corporate environmental-governance exchange, green low-carbon promotion and public engagement. | 理事单位<br>Council Member Unit | 地方协会公开资料、公司会员记录<br>Local association information and Company membership records | 未发现重大不一致<br>No material inconsistency identified |

